

Docket No. 237687US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shaoxiang LU et al.

SERIAL NO: 10/622,689

GAU: 1712

FILED: July 21, 2003

EXAMINER: PENG, KUO

FOR: SELF-EMULSIFYING COPOLYMER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Mod. 9/99)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 237687US0		SERIAL NO. 10/622,689	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Shaoxiang LU et al.			
				FILING DATE July 21, 2003		GROUP 1712	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	US 2003/0235548	12-25-03	LU			
	AB	US 2004/0001799	01-01-04	LU ET AL.			
	AC	US 2003/0235553	12-25-03	LU ET AL.			
	AD	US 2004/0126336	07-01-04	HANSENNE ET AL.			
	AE	US 2003/0235552	12-25-03	YU			
	AF	US 2003/0072730	04-17-03	TOURNILHAC			
	AG	US 2003/0170188	09-11-03	FERRARI ET AL.			
	AH	US 2003/0068348	04-10-03	FERRARI ET AL.			
	AI	US 2004/0115154	06-17-04	YU			
	AJ	US 2004/0115153	06-17-04	YU			
	AK	US 2004/0170586	09-02-04	FERRARI ET AL.			
	AL	US 2004/0120912	06-24-04	YU			
	AM	US 2003/0232030	12-18-03	LU ET AL.			
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
258693US0 CONT	10/935,352	9/8/04		LU, et al.
224330US0	10/166,648	6/12/02	US 2003- 0235548 A1	LU
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*Present Application; listed for information
NFO/amr

244819US0 PROV	60/528,696	12/12/03		LU, et al.
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*Present Application; listed for information
NFO/amr